

VENDOR RISK ASSESSMENT

Third-Party Vendor Security and HIPAA Compliance Evaluation

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1. Purpose and Scope

This document presents the results of Altnetix LLC's vendor risk assessment program. HIPAA requires Business Associate Agreements (BAAs) with all vendors that create, receive, maintain, or transmit PHI on behalf of a Covered Entity or Business Associate (45 CFR §164.308(b)(1)). This assessment ensures that critical vendors meet security and compliance requirements.

2. Vendor Categorization

Tier	Description	Review Frequency
Tier 1 – Critical	PHI access or single point of failure	Annual + on major contract change
Tier 2 – High	Indirect PHI access or significant operational dependency	Annual
Tier 3 – Standard	No PHI access, non-critical services	Every 2 years

3. Critical Vendor Assessments

Vendor	Service	Tier	BAA	Certifications	Last Reviewed
Microsoft Azure	Cloud infrastructure	1	Yes (in portal)	HIPAA, SOC 2 II, ISO 27001	June 2026
Microsoft Entra ID	Identity and access	1	Yes (via Azure)	SOC 2 II, ISO 27001	June 2026
Resend	Transactional email (password resets)	2	Available	SOC 2 II	June 2026
DoseSpot	e-Prescribing / Surrogate	1	Yes (executed)	HIPAA, DEA EPCS	June 2026
Availity	Insurance eligibility / claims	1	Yes (executed)	HIPAA, SOC 2 II	June 2026
QuestPDF	PDF generation (documents only)	3	N/A – no PHI	Community license	June 2026
Qualys SSL Labs	External SSL/TLS scanning	3	N/A – no PHI	Industry-recognized	June 2026

4. Assessment Criteria

- BAA execution status (required for all Tier 1 and 2 vendors with PHI access)
- Security certifications (SOC 2 Type II, ISO 27001, HIPAA attestation)
- Encryption standards for data at rest and in transit
- Incident notification procedures and

breach response
SLAs

- Business continuity and disaster recovery capabilities

- Subcontractor management and supply-chain security practices

5. Vendor Onboarding Requirements

- New vendors complete a security questionnaire before access is granted

- BAA is executed before any PHI is shared with or accessible to the vendor

- Vendor contracts include security, data protection, and breach notification terms

- Vendor access is logged and reviewed quarterly as part of access control reviews